

## AUDIT AND RISK MANAGEMENT COMMITTEE - Outstanding Actions

	Item	Action	Officer responsible	Progress updates/target
1	<b>International Centre for Financial Regulation (on-going)</b>	Chamberlain advised Members to await the outcome of the police report, before taking a view about risk assurance implications.	Chamberlain	An individual has been charged and the trial date is set for 5 <sup>th</sup> January 2015. The Committee will receive an update on the outcome of the Trial.
2	<b>Internal Audit Peer Review (added 4.3.14)</b>	Once all the Peer Reviews had been published, officers would look at benchmarking results with London Boroughs.	Paul Nagle	Next report to Committee in March or June 2015.
3	<b>Anti Fraud on-line training course (added 9.9.14)</b>	Refresher Policy to be agreed with HR and presented to ARM in January 2015, as part of the update report on Fraud Awareness Training.	Paul Nagle/Chris Keesing	An update will be provided at the February meeting.
4	<b>Hampstead Heath (added 9.9.14)</b>	An issue report will be presented to Hampstead Heath, Highgate Wood and Queens Park in November and Projects Sub and Audit and Risk Management Committees in December. The issue report indicates that, although the site preparation works may not start until February 2015, this is not anticipated to impact on final completion date.	Esther Sumner	The judicial review found in the City's favour on 28/11/14. Planning application likely to be considered on 15/01/15.
5	<b>CR18 Workforce Planning (added 9.9.14)</b>	Next scheduled report to include examples of a cost benefit analysis	Chrissie Morgan	Additional information in respect of this corporate risk will be included in the appendices to the December Risk Management update report.
6	<b>CR16 (added 9.9.14)</b>	Next scheduled report to include more detail on breaches and breakdown on training numbers and rollout/refresher periods.	Graham Bell	Additional information in respect of this corporate risk will be included in the appendices to the December Risk Management update report.

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7	<b>Internal Audit Planning (added 4 November 2014)</b>	The statistics showing sickness also covered other absences such as jury service, volunteering and compassionate leave. Members asked if the differences could be identified in future reports.	Paul Nagle	Analysis provided within December 2014 internal audit update report.
8	<b>Committee Satisfaction Survey</b>	One of the Members offered to provide a pro-forma used within their place of business and Members agreed that input into future questions would be helpful.	Neil Davies	Next Satisfaction Survey, to be confirmed
9	<b>Overtime and Holiday Pay</b>	Currently indeterminate but this would be kept under review by the Audit and Risk Management Committee as the outcome might need to go before the Finance Committee and the Court of Common Council.	Paul Nagle Caroline Al-Beyerty	